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BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA

| | | |
|------------------------------|---|-----------------------------------|
| In the Matter of: |) | CRD NO. 2129917 |
| |) | |
| THE COMMISSIONER OF BUSINESS |) | |
| OVERSIGHT, |) | ORDER WITHDRAWING NOTICE OF |
| |) | INTENTION TO ISSUE ORDER BARRING |
| Complainant, |) | RICHARD W. SIMPSON FROM ANY |
| |) | POSITION OF EMPLOYMENT, |
| v. |) | MANAGEMENT OR CONTROL OF ANY |
| |) | BROKER-DEALER OR INVESTMENT |
| RICHARD W. SIMPSON, |) | ADVISER, PURSUANT TO CORPORATIONS |
| |) | CODE SECTION 25213 |
| Respondent. |) | |

GOOD CAUSE APPEARING, IT IS ORDERED that the Notice of Intention to issue an Order Barring Richard W. Simpson from any position of employment, management or control of any broker-dealer or investment adviser, pursuant to section 25213 of the Corporations Code, which was issued on August 17, 2011 against Richard W. Simpson, is hereby withdrawn.

Dated: March 27, 2017

JAN LYNN OWEN
Commissioner of Business Oversight

By _____
MARY ANN SMITH
Deputy Commissioner